Cappitech Consulting & Managed Services for Regulatory Reporting

Fully outsourced regulatory reporting capability at a scale that works for you



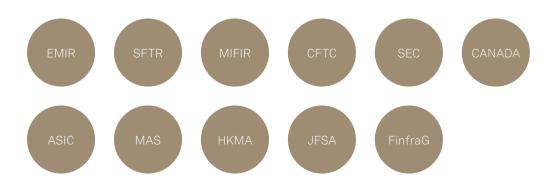


Outsource end-to-end back and middle office functions related to regulatory reporting

As a global leader in trade and transaction reporting, we have been providing RegTech solutions for over two decades. Our cloudbased, cross-regulation SaaS platform allows financial institutions of all types to easily comply with global regulatory requirements.

Financial market participants have also relied on our wider organization's managed services for decades. We help them to scale their operations in a flexible way, enhance revenue enablement and manage financial and reputational risk. Now we can offer a holistic solution combining the expert resources and consultancy you need alongside our award-winning solutions.

S&P Global Market Intelligence Cappitech provides multilevel solutions to suit all your business's needs, from assessment to end to end Change Management, full transaction reporting operational support or periodic support for specific events.



Global Coverage Meets Cross-Jurisdictional Requirement

Optimize costs, reduce risk and focus on higher value activities

With Cappitech Managed Services, you can choose a cost-effective solution and benefit from predictable, reduced TCO of your regulatory reporting activity. Leverage our extensive and highly experienced team and our vast network of fully trained partners and get immediate access to deep subject matter experts. Our centralized management means that you will benefit from seamless onboarding and world-class execution.

We offer full safeguarding of control over change management and monitoring and can serve as a key stakeholder in governance for change management.

Choose from four different regulatory reportion solution options:



Assessment: get the full picture

- Client Regulation reporting stack assessment and health check



Change Management: deploy a full-service team internally

- Provide strategic review around key Reg Change

elements – gap analysis, expanded reportable fields, new technical standards, UTI determination logic and UPI implementation etc.



Service Support: outsource transaction management

 On/off site dedicated RegOps team to manage all transaction reporting and activities



Periodic Support: when you need it

- Deploy our dedicated execution team for one-off end-to-end review processes

About S&P Global Market Intelligence

At S&P Global Market Intelligence, we understand the importance of accurate, deep, and insightful information. Our team of experts delivers unrivaled insights and leading data and technology solutions, partnering with customers to expand their perspective, operate with confidence, and make decisions with conviction.

S&P Global Market Intelligence is a division of S&P Global (NYSE: SPGI).

S&P Global is the world's foremost provider of credit ratings, benchmarks, analytics, and workflow solutions in the global capital, commodity, and automotive markets. With every one of our offerings, we help many of the world's leading organizations navigate the economic landscape so they can plan for tomorrow, today. For more information, visit www.spglobal.com/marketintelligence.

About Cappitech

Cappitech provides regulatory reporting, best execution analysis and business intelligence solutions that meet the fast-evolving needs of the largest and most complex financial and non-financial institutions. Our cloud-based, cross-regulation SaaS platform fully automates the reporting process and provides a comprehensive view on a single, intuitive dashboard for reporting regimes such as EMIR, MiFID, SFTR, FinfraG, SEC, CFTC, Canadian reporting, ASIC, MAS, JFSA and others.

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